119TH CONGRESS 1ST SESSION

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To require the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office to establish and carry out a pilot program to expedite the examination of applications for certain patents, and for other purposes.

## IN THE SENATE OF THE UNITED STATES

Mrs. BLACKBURN introduced the following bill; which was read twice and referred to the Committee on \_\_\_\_\_

## A BILL

- To require the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office to establish and carry out a pilot program to expedite the examination of applications for certain patents, and for other purposes.
  - 1 Be it enacted by the Senate and House of Representa-
  - 2 tives of the United States of America in Congress assembled,

## **3** SECTION 1. SHORT TITLE.

4 This Act may be cited as the "Leadership in Critical
5 and Emerging Technologies Act" or the "Leadership in
6 CET Act".

| 1  | SEC. 2. PILOT PROGRAM FOR EXPEDITING EXAMINATION       |
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| 2  | OF CERTAIN CRITICAL AND EMERGING TECH-                 |
| 3  | NOLOGY PATENT APPLICATIONS.                            |
| 4  | (a) DEFINITIONS.—In this section:                      |
| 5  | (1) COVERED APPLICATION.—The term "cov-                |
| 6  | ered application" means an application for patent      |
| 7  | that contains at least 1 claimed invention directed to |
| 8  | an eligible critical or emerging technology.           |
| 9  | (2) DIRECTOR.—The term "Director" means                |
| 10 | the Under Secretary of Commerce for Intellectual       |
| 11 | Property and Director of the Office.                   |
| 12 | (3) ELIGIBLE CRITICAL OR EMERGING TECH-                |
| 13 | NOLOGY.—The term "eligible critical or emerging        |
| 14 | technology'' means—                                    |
| 15 | (A) an artificial intelligence capability re-          |
| 16 | lating to—   |
| 17 | (i) machine learning;                                  |
| 18 | (ii) deep learning;                                    |
| 19 | (iii) reinforcement learning;                          |
| 20 | (iv) sensory perception or recognition;                |
| 21 | (v) an artificial intelligence assurance               |
| 22 | or assessment technique;                               |
| 23 | (vi) a foundation model;                               |
| 24 | (vii) a generative artificial intelligence             |
| 25 | system or multimodal or large language                 |
| 26 | model;   |

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| 1  | (viii) a synthetic data approach for                 |
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| 2  | training, tuning, or testing;                        |
| 3  | (ix) planning, reasoning, or decision                |
| 4  | making; or   |
| 5  | (x) the improvement of artificial intel-             |
| 6  | ligence safety, trust, security, or respon-          |
| 7  | sible use;   |
| 8  | (B) semiconductor design or an electronic            |
| 9  | design automation tool; or                           |
| 10 | (C) a quantum information science capa-              |
| 11 | bility relating to—                                  |
| 12 | (i) quantum computing;                               |
| 13 | (ii) materials, isotopes, or fabrication             |
| 14 | techniques for quantum devices;                      |
| 15 | (iii) quantum sensing; or                            |
| 16 | (iv) quantum communications or net-                  |
| 17 | working.   |
| 18 | (4) EXPEDITE.—The term "expedite" means,             |
| 19 | with respect to a covered application, to advance    |
| 20 | that covered application out of turn through the use |
| 21 | of a petition to make special.                       |
| 22 | (5) Office.—The term "Office" means the              |
| 23 | United States Patent and Trademark Office.           |

(6) PILOT PROGRAM.—The term "pilot pro gram" means the pilot program established under
 subsection (b).

4 (b) ESTABLISHMENT.—Not later than 1 year after 5 the date of enactment of this Act, the Director shall estab-6 lish a pilot program to expedite the examination, under 7 section 131 of title 35, United States Code, of covered ap-8 plications.

9 (c) PURPOSE.—The purpose of the pilot program 10 shall be to encourage innovation by, and the leadership 11 of, the United States with respect to critical or emerging 12 technologies by ensuring that covered applications receive 13 prompt consideration.

14 (d) IMPLEMENTATION.—In carrying out the pilot15 program, the Director may—

16 (1) by regulation, and in addition to the re17 quirements under subsection (e), prescribe the condi18 tions under which a covered application shall be ac19 cepted and examined under the pilot program, in20 cluding—

21 (A) the requirements to participate in the22 pilot program;

(B) internal processing by the Office ofcovered applications under the pilot program;

| 1  | (C) requirements for restriction or unity of   |
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| 2  | inventions identified in covered applications; |
| 3  | (D) the period during which the applicant      |
| 4  | submitting the covered application may reply   |
| 5  | with respect to an action taken by the Office  |
| 6  | with respect to the covered application;       |
| 7  | (E) standards relating to a reply described    |
| 8  | in subparagraph (D);                           |
| 9  | (F) standards or procedures governing—         |
| 10 | (i) any amendment, affidavit, or other         |
| 11 | evidence filed after a final action taken by   |
| 12 | the Office with respect to the covered ap-     |
| 13 | plication; and                                 |
| 14 | (ii) any process for appeal with re-           |
| 15 | spect to a final action described in clause    |
| 16 | (i); and                                       |
| 17 | (G) the withdrawal, by an applicant, of a      |
| 18 | covered application submitted under the pilot  |
| 19 | program; and                                   |
| 20 | (2) waive—                                     |
| 21 | (A) the petition fee described in section      |
| 22 | 1.102(d) of title 37, Code of Federal Regula-  |
| 23 | tions, or any successor regulation; or         |

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| 1  | (B) any other requirement of the Office re-                 |
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| 2  | lating to the accelerated examination program               |
| 3  | or the prioritized examination program.                     |
| 4  | (e) QUALIFYING APPLICATIONS.—To best achieve the            |
| 5  | purpose of the pilot program, the Director shall ensure     |
| 6  | that a covered application satisfies the following require- |
| 7  | ments to qualify for the pilot program:                     |
| 8  | (1) The applicant submitting the covered appli-             |
| 9  | cation—   |
| 10 | (A) is not a foreign entity of concern, as                  |
| 11 | defined in section 9901 of the William M.                   |
| 12 | (Mac) Thornberry National Defense Authoriza-                |
| 13 | tion Act for Fiscal Year 2021 (15 U.S.C.                    |
| 14 | 4651); and  |
| 15 | (B) certifies in the covered application that               |
| 16 | the inventor or any joint inventor with respect             |
| 17 | to any claimed invention in the covered applica-            |
| 18 | tion has not been named as the inventor or                  |
| 19 | joint inventor with respect to more than 4 other            |
| 20 | covered applications submitted under the pilot              |
| 21 | program.  |
| 22 | (2) The covered application is a noncontinuing,             |
| 23 | nonprovisional application for an original utility pat-     |
| 24 | ent filed under section 111(a) of title 35, United          |
| 25 | States Code, that does not claim any domestic ben-          |

| 1  | efit under section $120$ , $121$ , $365(c)$ , or $386(c)$ of |
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| 2  | that title.  |
| 3  | (f) TERMINATION.—  |
| 4  | (1) IN GENERAL.—The pilot program shall ter-                 |
| 5  | minate on the earlier of the following:                      |
| 6  | (A) The date that is 5 years after the date                  |
| 7  | on which the Director first accepts a covered                |
| 8  | application for participation in the pilot pro-              |
| 9  | gram.  |
| 10 | (B) The date on which the Director has                       |
| 11 | accepted 15,000 covered applications for par-                |
| 12 | ticipation in the pilot program, without regard              |
| 13 | to whether those covered applications have been              |
| 14 | expedited under the pilot program.                           |
| 15 | (2) RENEWAL.—If the pilot program terminates                 |
| 16 | under paragraph $(1)(B)$ , the Director may renew the        |
| 17 | pilot program for the shorter of the following:              |
| 18 | (A) An additional 5-year period, beginning                   |
| 19 | on the date on which the pilot program termi-                |
| 20 | nates under paragraph (1)(B).                                |
| 21 | (B) An additional period—                                    |
| 22 | (i) beginning on the date on which the                       |
| 23 | pilot program terminates under paragraph                     |
| 24 | (1)(B); and  |

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| 1  | (ii) ending on the date on which the                       |
| 2  | Director has accepted an additional 15,000                 |
| 3  | covered applications for participation in                  |
| 4  | the pilot program, without regard to                       |
| 5  | whether those covered applications have                    |
| 6  | been expedited under the pilot program.                    |
| 7  | (3) Notice of Renewal.—The Director shall                  |
| 8  | notify the Committee on the Judiciary of the Senate        |
| 9  | and the Committee on the Judiciary of the House of         |
| 10 | Representatives of the intent of the Director to           |
| 11 | renew the pilot program under paragraph $(2)$ not          |
| 12 | later than the date that is the earlier of the fol-        |
| 13 | lowing:  |
| 14 | (A) The date that is 60 days before the                    |
| 15 | date described in paragraph (1)(A).                        |
| 16 | (B) The date that is 30 days after the date                |
| 17 | on which the Director has accepted 12,000 cov-             |
| 18 | ered applications for participation in the pilot           |
| 19 | program, without regard to whether those cov-              |
| 20 | ered applications have been expedited under the            |
| 21 | pilot program.   |
| 22 | (g) Public Availability of Information.—The                |
| 23 | Director shall make publicly available in an easily acces- |
| 24 | sible location on the website of the Office information    |
| 25 | about the pilot program, including—                        |
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| 1  | (1) the number of covered applications sub-            |
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| 2  | mitted under the pilot program;                        |
| 3  | (2) the number of covered applications de-             |
| 4  | scribed in paragraph (1) that the Director has ac-     |
| 5  | cepted for participation in the pilot program; and     |
| 6  | (3) the number of patents that have been issued        |
| 7  | for inventions claimed in covered applications expe-   |
| 8  | dited under the pilot program.                         |
| 9  | (h) Report to Congress.—                               |
| 10 | (1) IN GENERAL.—Not later than 180 days                |
| 11 | after the date on which the pilot program terminates   |
| 12 | (including any renewal of the pilot program under      |
| 13 | subsection $(f)(2)$ , the Director shall submit to the |
| 14 | Committee on the Judiciary of the Senate and the       |
| 15 | Committee on the Judiciary of the House of Rep-        |
| 16 | resentatives a report that assesses the impact and     |
| 17 | effectiveness of the pilot program based on all avail- |
| 18 | able data.   |
| 19 | (2) APPLICABILITY.—The collection of any data          |
| 20 | for the purposes of carrying out paragraph (1) shall   |
| 21 | be exempt from subchapter I of chapter 35 of title     |
| 22 | 44, United States Code (commonly referred to as        |
| 23 | the "Paperwork Reduction Act").                        |
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